



Original article

Losses at chromosome 4q are associated with poor survival in operable ductal pancreatic adenocarcinoma

A.M. Luebke^{a,c,1}, M. Baudis^{d,1}, H. Matthaei^{b,1}, Y.K. Vashist^a, P.E. Verde^e, S.B. Hosch^{a,2}, A. Erbersdobler^{f,3}, C.A. Klein^g, J.R. Izbicki^a, W.T. Knoefel^{a,2}, N.H. Stoecklein^{a,b,2,*}^a Klinik und Poliklinik für Allgemein-, Viszeral- und Thoraxchirurgie, Universitätsklinikum Hamburg-Eppendorf, Germany^b Klinik für Allgemein-, Viszeral- und Kinderchirurgie, Universitätsklinikum Düsseldorf, Germany^c Institut für Pathologie, Universitätsklinikum Hamburg-Eppendorf, Germany^d Institute of Molecular Life Sciences, Universität Zürich, Switzerland^e Koordinierungszentrum für klinische Studien, Universitätsklinikum Düsseldorf, Germany^f Institut für Pathologie, Universitätsklinikum Rostock, Germany^g Lehrstuhl für Experimentelle Medizin und Therapieverfahren, Universität Regensburg, Germany

A B S T R A C T

Keywords:

CGH
PDAC
Pancreatic cancer
4q loss
Clinical follow-up
Prognosis

Here we tested the prognostic impact of genomic alterations in operable localized pancreatic ductal adenocarcinoma (PDAC). Fifty-two formalin-fixed and paraffin-embedded primary PDAC were laser micro-dissected and were investigated by comparative genomic hybridization after whole genome amplification using an adapter-linker PCR. Chromosomal gains and losses were correlated to clinicopathological parameters and clinical follow-up data. The most frequent aberration was loss on chromosome 17p (65%) while the most frequent gains were detected at 2q (41%) and 8q (41%), respectively. The concomitant occurrence of losses at 9p and 17p was found to be statistically significant. Higher rates of chromosomal losses were associated with a more advanced primary tumor stage and losses at 9p and 18q were significantly associated with presence of lymphatic metastasis (chi-square: $p = 0.03$, $p = 0.05$, respectively). Deletions on chromosome 4 were of prognostic significance for overall survival and tumor recurrence (Cox-multivariate analysis: $p = 0.026$ and $p = 0.021$, respectively). In conclusion our data suggest the common alterations at chromosome 8q, 9p, 17p and 18q as well as the prognostic relevant deletions on chromosome 4q as relevant for PDAC progression. Our comprehensive data from 52 PDAC should provide a basis for future studies with a higher resolution to discover the relevant genes located within the chromosomal aberrations identified.

Copyright © 2012, IAP and EPC. Published by Elsevier India, a division of Reed Elsevier India Pvt. Ltd. All rights reserved.

1. Introduction

Pancreatic ductal adenocarcinoma (PDAC) is the predominant histological type among pancreatic malignancies and with a 5-year survival rate of 3–5%, considered as one of the most lethal cancer types [1,2]. At diagnosis, most patients present with locally advanced, metastatic disease rendering their cancers incurable. Even in selected patients who received surgical treatment with curative intention, the reported 5-year survival rates range around only 20% [3,4]. Residual tumor at the resection margin (R1) has been discussed as one reason for this extremely poor prognosis [4–6]. However, the survival of patients with tumor free resection margins (R0), when checked by a thorough pathological work-up protocol, is not dramatically better [5] questioning this hypothesis. The tumor-node-metastasis (TNM) staging system is currently the method of choice to separate resectable patients with early

Abbreviations: PDAC, pancreatic ductal adenocarcinoma; CIN, chromosomal instability; CGH, comparative genomic hybridization; mCGH, metaphase CGH; SCOMP, single cell comparative genomic hybridization; SD, standard deviation; n.s., not significant; vs, versus; n, variable quantity.

* Corresponding author. Klinik für Allgemein-, Viszeral-, und Kinderchirurgie, Universitätsklinikum Düsseldorf, Moorenstr. 5, D-40225 Düsseldorf, Germany. Tel.: +49 (0)211 8104109; fax: +49 (0)211 8104494.

E-mail address: nikolas.stoecklein@uni-duesseldorf.de (N.H. Stoecklein).

¹ Contributed equally to this study.

² Present address: Klinik für Allgemein-, Viszeral- und Kinderchirurgie, Universitätsklinikum Düsseldorf, Germany.

³ Present address: Institut für Pathologie, Universitätsklinikum Rostock, Germany.

tumor stage and a possibly better prognosis from the group of patients with advanced lesions that are considered incurable and not resectable [7]. Nonetheless, individual outcome prediction is uncertain, since patients with an identical primary tumor stage can experience significantly different disease outcomes. Therefore, a further biological characterization of PDAC might provide additional prognostic information for an improved treatment selection, e.g. for multimodal therapeutic approaches [8,9] or for molecular therapies [10].

Sporadic PDAC is characterized by marked chromosomal instability (CIN) and several data indicate that CIN can be regarded as one of the driving forces for PDAC development and progression [1,11–16]. CIN refers to an accelerated rate of gains or losses of whole or large portions of chromosomes that results in karyotypic variability from cell to cell [17,18]. Consequences of CIN are imbalance in chromosome number (aneuploidy) and structural chromosomal alterations. Telomere attrition is believed to be a major promoter and potential initiator of CIN leading, among other chromosomal changes, to amplifications and deletions at the sites of chromosomal rearrangements as well as at the points of chromosomal breakage through the development of breakage-fusion-bridge (BFB) cycles [19–21]. Interestingly, global telomere erosion is already present in over 90% of early precursor lesions (PanIN-1A) and seems to even precede the development of mutations in the *KRAS* and *TP53* genes [22]. In addition to centromere amplifications, defects of the spindle apparatus seem to contribute to CIN as well and have been frequently observed in PDAC [23,24]. In this context, we were interested whether CIN could be used as prognostic marker. Thus, we investigated the ploidy levels of malignant pancreatic ducts using chromogenic in situ hybridization and observed that high levels of aneuploidy conferred a higher risk for early metastatic relapse as well as for tumor related death [25]. This finding was supported by similar observations of other groups [26,27]. Surprisingly, the clinical relevance of global chromosomal imbalances is thus far poorly investigated in PDAC. In order to assess such global genomic imbalances in primary PDAC, we used Comparative Genomic Hybridization (CGH) to screen for genome-wide chromosomal gains and losses. Since PDAC exhibit a complex morphology, we performed laser-assisted micro-dissection for the isolation of malignant ducts from the surrounding fibrous tissue prior to Single cell Comparative genomic hybridization (SCOMP) for representative whole genome amplification. SCOMP is an adapter-linker PCR approach for single cell amplification that has repeatedly been shown to be superior to other whole genome amplification methods commonly used for few cell amplification and subsequent CGH analysis from formalin-fixed and paraffin-embedded tissues [28,29]. The CGH results retrieved in our study were then used to search for association with clinicopathological factors and tumor-specific survival data to test their prognostic significance.

2. Materials and methods

2.1. Patients and tumor samples

All samples were derived from formalin-fixed and paraffin-embedded tissue (FFPE) blocks from routine histopathology of 52 patients who underwent partial pancreateoduodenectomy and radical lymphadenectomy with curative intention (R0) at the University Hospital Hamburg-Eppendorf (Table 1). Clinicopathological data were acquired with approval of the ethics committee of the Hamburg Chamber of Physicians, Germany.

The median age of the patients was 60.2 years (range 33–82 years). Twenty-three patients were females (44%) and 29 males

Table 1

Demographic as well as clinico-pathological characteristics of our patient population.

Parameters	Category	n (%)
Gender	Female	23 (44)
	Male	29 (56)
Age	Median [range]	60.2 years [33–82]
Depth of invasion	pT1	1 (2)
	pT2	25 (48)
	pT3	25 (48)
	pT4	1 (2)
Lymph node involvement	pN0	19 (27)
	pN1	33 (63)
Grade of differentiation	G2	27 (52)
	G3	25 (48)
	UICC Stage	I
	II	6 (12)
	III	32 (62)
	IV ^a	1 (2)

^a UICC IVA – No distant metastasis (pT4).

(56%). TNM classification and staging was performed according to the sixth edition of the UICC (International Union against Cancer) guidelines [30]. One tumor was classified as pT1 (2%), 25 as pT2 (48%), 25 as pT3 (48%), and one as pT4 (2%). Thirty-three patients (63%) had primary lymph node metastases (pN1) while patients with initial distant metastases (M1) were not integrated into our study. Twenty-seven tumors (52%) were categorized as G2 and 25 as G3 (48%). Clinical follow-up data were available for 50 patients. None of the patients included into the survival analysis received pre-operative (radio-)chemotherapy. Two patients died of non-tumor related death during the hospital stay and were therefore not included in our outcome analysis. The median clinical observation period was 14.5 months.

2.2. Microdissection

First, a pathologist (A.E.) reviewed the specimen and selected suitable FFPE tissue blocks. Subsequently, sequential 5- μ m sections were cut from the selected FFPE tissue blocks using a microtome. For morphological control, one slide was stained with conventional hematoxylin and eosin staining and the sequential section was prepared for laser micro-dissection and mounted onto a 1.35- μ m-thin polyethylene membrane (P.A.L.M. Microlaser Technologies, Bernried, Germany), attached to a glass slide. For micro-dissection the tissue sections were deparaffinized on a shaker, changing the xylene twice, incubated for 30 min each and were finally rehydrated with a series of 100%, 85%, and 70% ethanol. To avoid interference of the nuclear staining with the PCR amplification, slides were stained in diluted (50%) hematoxylin (Gill's, Sigma, St. Louis, MO, USA) for 5 min. The staining was followed by a dehydrating ethanol series and the slides were dried overnight in the presence of a desiccant. For micro-dissection we used the P.A.L.M. Laser-Microbeam system (P.A.L.M. Microlaser Technologies, Bernried, Germany). The inner side of a 200- μ l tube cap was covered with 3–5 μ l of PCR oil and the isolated cells were catapulted into the cap (Fig. 1A). The cap was subsequently mounted onto the tube and centrifuged at 14,000 μ g for 5 min. Then 3 μ l of lysis buffer [10 mmol/L Tris-acetate, pH 7.5, 10 mmol/L Mg-acetate, 50 mmol/L K-acetate (0.2 μ l of 10X Pharmacia One-Phor-All-Buffer-Plus)], 0.67% Tween 20 (Sigma, Deisenhofen, Germany), 0.67% Igepal (Sigma), and 1.3 mg/ml proteinase K were added to the tube and centrifuged again for 14,000 μ g for 5 min to separate the reaction mix from the oil.

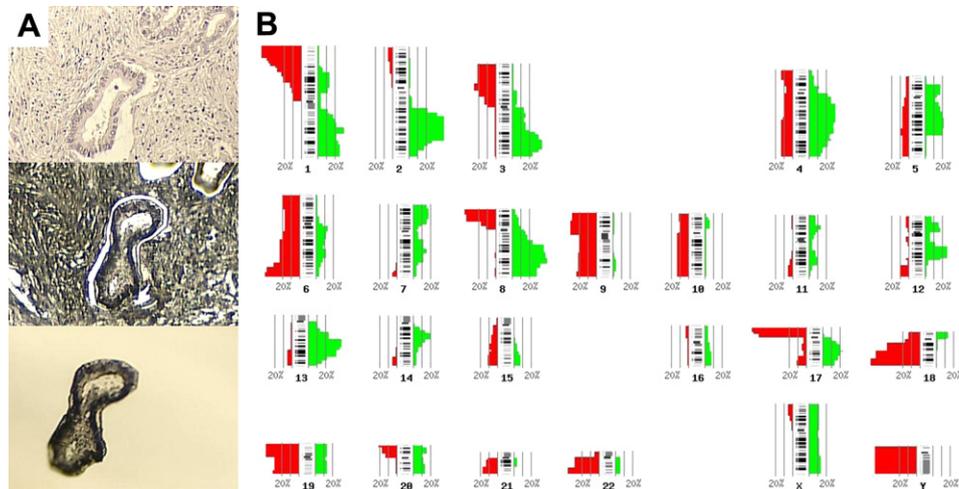


Fig. 1. A: Laser-assisted micro-dissection sequence of a malignant duct in PDAC. B: Histogram that summarizes the chromosomal gains (green) and losses (red) detected in 52 laser micro-dissected PDAC tissues. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

2.3. SCOMP (Single cell COMParative genomic hybridization)

To apply SCOMP on laser-microdissected cells from FFPE material, it was carried out exactly as described in the protocol by Stoeklein et al. [28]. The labeling reaction was performed in a total volume of 40 μ l consisting of 4 μ l of 10 \times PCR buffer (Expand Long Template PCR System, Buffer 1; Roche, Mannheim, Germany), 6 μ l of Lib1-primer (10 μ mol/L), 1.4 μ l of a dNTP stock solution (10 mmol/L for dATP, dCTP, and dGTP; 8.75 mmol/L for dTTP), 1.75 μ l of 1 mmol/L digoxigenin-11-dUTP (Roche) for the test-DNA or 1.75 μ l of 1 mmol/L biotin-16-dUTP (Roche) for the reference DNA, respectively, and 2.5 U of Taq-polymerase (Roche). We used 1.25 μ l of the SCOMP product for reamplification and a MJ-Research PTC-200 thermocycler (Waltham, MA) was programmed to 94 $^{\circ}$ C (1 min), 60 $^{\circ}$ C (30 s), 72 $^{\circ}$ C (2 min) for one cycle; 94 $^{\circ}$ C (30 s), 60 $^{\circ}$ C (30 s), 72 $^{\circ}$ C (2 min plus 20 s/cycle) for 10 cycles. The labeled products were used for CGH as described [28]. Quantitative evaluation of the ratio of test and control DNA was done according to du Manoir and colleagues [31] by using the Leica (Bensheim, Germany) software package Q-CGH. Five to 12 metaphases were evaluated in each experiment.

2.4. Statistical methods

For the statistical computations we used the SPSS 12.0 software (SPSS Inc., Chicago, IL, USA) and the statistical package R [32]. For generation of aberration status matrix and chromosomal ideograms we used Progenetix [33]. To test the associations between non-parametric data (chromosomal aberration number, chromosomal gain number, chromosomal loss number) and clinicopathological variables, we used the Mann–Whitney-*U* test. The two-sided Fisher's exact test, and whenever appropriate the Chi-square test, were used to test for associations between genomic changes as well as between genomic changes and clinicopathological parameters. Tumor-specific survival was estimated using the Kaplan–Meier method. Differences in survival were analyzed regarding clinicopathological features (i.e. age, gender, tumor invasiveness, presence of lymph node metastases as well as tumor grading) as well as regarding chromosomal aberrations by means of log-rank test. The overall survival was computed as the time period from the date of surgery to either the date of death or last follow-up, whichever occurred first. The disease-free survival was defined as the time period from the date of surgery to the date of

recurrence, last follow-up or date of death, whichever occurred first. Patients alive without recurrence at the last follow-up dates were censored. Cox proportional hazard model was fitted for multivariate analysis. Differences between groups were considered significant if the *p*-value was <0.05 in a two-tailed test.

3. Results

3.1. Genome-wide imbalances in PDAC

In our PDAC samples, we detected a mean chromosomal aberration number of 12.2 (SD \pm 4.9, range 3–22). In average, 5.8 chromosomal gains were found per patient (SD \pm 3.5, range 1–16) with the most frequent gains being located at 2q (41%) and 8q (41%). Mean chromosomal loss in our cohort was 6.4 (SD \pm 2.8, range 1–16). The most frequent loss was observed at chromosome 17p (65%) (Table 2, Fig. 1B). No significant difference between the number of chromosomal gains and losses was detected (Mann–Whitney-*U* test, *p* = 0.34). Generally, the histogram of summarized CGH results (Fig. 1B) indicates a high rate of selected alterations, since fourteen aberrations were frequently recurrent and observed in at least 30% of the investigated PDACs (Table 2). We then tested for recurrent combined chromosomal aberrations and observed a significant correlation between the occurrence of 9p losses and 17p losses (Fisher's exact test: *p* = 0.03), suggesting

Table 2
CGH aberrations observed in \geq 30% of patients.

Chromosome, Arm, Band	Percentage with loss
17p11–13	65%
18q12–23	58%
1p33–36	46%
6q24–27	40%
19p11–13	39%
8p21–23	37%
22q	37%
9p11–21	31%
	Percentage with gain
2q22–34	40%
8q21–24	40%
13q21–31	38%
3q25–27	35%
1q31	31%
4q21–28	31%

combined losses of *TP53* (on 17p) and *INK4A* (on 9p). Another frequently amplified region was 8q, comprising the *MYC* oncogene locus. However, studies with a higher resolution are inevitable to test these links in order to prove any such relation. Although, 78% ($n = 18$) of the cases with 8q gain displayed losses at 17p, no statistical significant correlation was observed between 8q gains and losses of 9p and 17p, respectively (Fisher's exact test: $p = \text{n.s.}$). No further significant recurrent combined chromosomal aberrations were detected.

3.2. Correlation of CGH aberrations with clinico-pathological parameters

We compared the number of chromosomal aberrations with the pT-category, the pN-category and grading, respectively, to check whether local and regional tumor progression correlate with the level of structural genomic instability. We did not observe a statistical significant correlation between an increase of chromosomal aberrations and advanced pT-, pN-category or grading, respectively. However, we found a relationship between a higher number of chromosomal losses and more advanced pT-category (Mann–Whitney–*U* test: $p = 0.03$) as well as a trend for the correlation between a higher number of chromosomal losses and the presence of lymph node metastases (Mann–Whitney–*U* test: $p = 0.09$).

In the next step, we tested whether specific chromosomal aberrations were associated with clinico-pathological factors. We observed that losses at the chromosomal region 9p11–21 were significantly correlated with the presence of lymphatic metastasis. Fifteen (83%) of 18 patients with 9p losses compared to 16 (47%) of 34 patients without 9p loss exhibited lymph node metastasis (Chi-square test, $p = 0.025$). Moreover, we measured a borderline statistical significant correlation of 18q losses and lymphatic metastasis. While 23 (74%) of 31 patients with 18q losses showed lymph node metastases (pN1), only 10 (47%) of the 21 patients without chromosome 18q losses exhibited lymphatic spread (Chi-square test, $p = 0.05$). Otherwise we did not observe any statistical significant correlation between CGH-alterations and standard risk factors.

3.3. Correlation of CGH aberrations with tumor-specific survival

Survival analysis was conducted for 50 cases, since two patients died during the hospital stay, unrelated to PDAC. As expected, the survival analysis revealed lymph node metastases (log-rank: $p = 0.001$) as significant prognostic factor. Primary tumor size or grading was not significantly correlated to survival in our patient cohort. We then tested whether any of the chromosomal aberrations were of prognostic significance. The 362-band matrix was reduced to a matrix consisting of only p and q arms of each chromosome (41 variables; alterations on chromosome 19 and Y were excluded from the analysis and for the acrocentric chromosomes 13,14 and 15, respectively, only q-arms were analyzed. The

GC-rich chromosome 19 was excluded because alterations – especially deletions – are difficult to interpret in mCGH, since color-switch experiments or further FISH-validation were omitted [34,35]). We analyzed the prognostic relevance of gains and losses using log-rank test. None of the frequent chromosomal aberrations that were detected in at least 30% of the PDAC cases displayed any significant correlation to survival. Among all alterations, only deletions at chromosome 4 were of prognostic significance in the univariate analysis for overall survival ((log-rank: $p = 0.03$ for 4p loss); log-rank: $p = 0.009$ for 4q loss) (Table 3, Fig. 2). When we corrected the log-rank-data from all 41 variables for multiple testing using the Benjamini and Hochberg false discovery rate, the deletions at chromosome 4 lost their prognostic significance ($p = 0.37$). Despite this observation we entered deletions at chromosome 4 – as identified by the unadjusted univariate analysis – into a multivariate Cox-regression model to test for independent prognostic significance of this factor in our collective. In fact, losses on chromosome 4q and lymph node metastases were found to be of independent prognostic significance (Table 4), while no significant correlation was observed for losses on chromosome 4p. Interestingly, losses on chromosome 4q as well as lymph node metastases also predicted tumor recurrence independent of other factors included into the multivariate analysis (Table 4)

4. Discussion

Very aggressive biological behavior resulting in high mortality rates even after radical resection of the primary tumor and marked chromosomal instability are major hallmarks of pancreatic ductal adenocarcinoma (PDAC). In the present study we tested in an exploratory manner whether genome-wide chromosomal alterations detectable by conventional metaphase-based CGH were associated with tumor-specific survival. Only losses at chromosome 4q were found to be of independent prognostic significance with the note of caution that the identified prognostic alteration at 4q did not pass the test to correct for multiple testing. Clearly, the prognostic significance of chromosome 4 losses warrants further validation in independent PDAC collectives, e.g. by using FISH probes for the deleted chromosomal region. With a frequency of 16%, the losses of chromosome 4q were relatively rare in our collective. However, this frequency is compatible with previous CGH studies in PDAC [36]. Because of the low resolution of conventional CGH and the further reduction in resolution for our survival analysis, clearly, our approach is not suitable to identify cancer related genes within the candidate regions. Losses at chromosome 4 involving large parts of the chromosome, have been previously reported to be of prognostic significance in oral cancer [37], colorectal cancer [38] and hepatoblastoma [39]. Furthermore, deletions at 4q12–q32 in primary lung cancer were significantly associated with the presence of disseminated tumor cells in bone marrow, indicating that this alteration might be relevant for systemic disease progression [40]. The majority of available CGH

Table 3
Statistically relevant aberrations within univariate survival analysis (log-rank test).

Aberration	Number of patients	Number of tumor-related deaths	Censored patients	Median survival (months)	p-value (log-rank test)
4q loss					
No	42	22	20	17	0.009
Yes	8	7	1	10	
4p loss					
No	43	23	20	16	0.043
Yes	7	6	1	10	

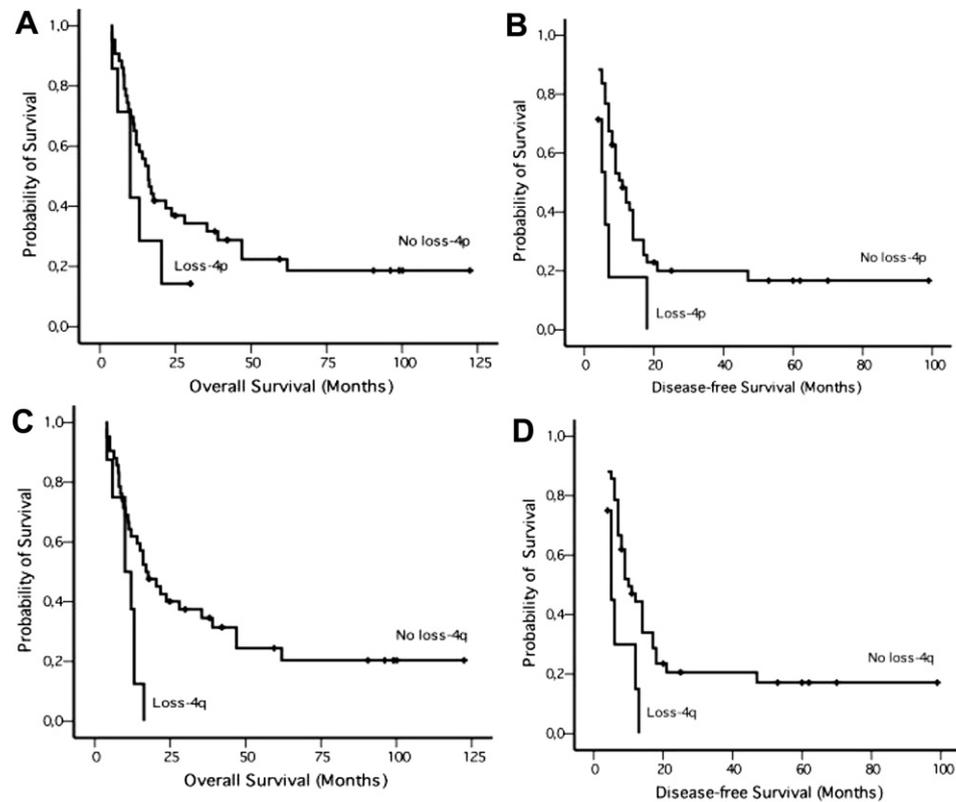


Fig. 2. Kaplan–Meier-curves for overall survival (OS) and disease-free (DFS) survival after curative resection in 50 PDAC patients. Impact of chromosome 4p losses on OS (A) and DFS (B). Impact of chromosome 4q losses on OS (C) and DFS (D).

studies in PDAC provide a general description of chromosomal imbalances mainly in locally advanced and metastatic cancers (UICC Stages IVa and IVb; Table 5). Furthermore, the published studies often rely on a small heterogeneous sample size. Only very few authors such as Schleicher et al. correlated the CGH results in PDAC with clinical outcome [41]. They identified chromosome 8q gain as a prognostic factor for poor survival. However, of the 32 investigated pancreatic cancers, only 25 tumors displayed PDAC morphology. Furthermore, micro-dissection was not performed, which reduces the sensitivity of CGH and may explain the relatively low reported mean aberration number of 4.23.

Table 4
Multivariate regression analysis for tumor related survival (Cox proportional hazard model).

Category	Hazard ratio	95% Confidence interval	P-value
Overall survival			
Age (≤ 65 years vs. > 65 years)	1.01	0.97–1.04	0.694
Gender (female vs. male)	1.54	0.72–3.31	0.264
pT1 + 2 vs. pT3 + 4	0.85	0.44–1.96	0.846
pN0 vs. pN1	3.76	1.56–9.07	0.003
G1 + 2 vs. G3 + 4	1.33	0.69–2.59	0.395
Loss on chromosome 4q vs. no loss on chromosome 4q	2.94	1.14–7.58	0.026
Recurrence			
Age (≤ 65 years vs. > 65 years)	0.99	0.96–1.03	0.694
Gender (female vs. male)	2.05	0.95–4.42	0.067
pT1 + 2 vs. pT3 + 4	0.72	0.34–1.51	0.846
pN0 vs. pN1	2.21	1.01–4.85	0.003
G1 + 2 vs. G3 + 4	0.82	0.41–1.62	0.566
Loss on chromosome 4q vs. no loss on chromosome 4q	3.25	1.19–8.82	0.021

In order to analyze a more homogeneous tumor collective we selected 52 histologically verified, curatively (R0, according to our institutional histo-pathological work-up and prior to the Leeds Protocol) resected PDAC, representing the so far largest CGH data set available in this histological setting. A major obstacle harvesting PDAC tissue of high tumor cellularity as required for comparative genetic methods is the strong desmoplastic reaction observed in a majority of PDAC. Frequently, only a few tumor cells are surrounded by massive amounts of fibrous tissue. This may significantly reduce the sensitivity of CGH analysis due to normal-cell contamination. Therefore, we used laser-assisted micro-dissection for the isolation of PDAC cells and implemented SCOMP to amplify the genome of the few dissected malignant cells. Studies without micro-dissection tend to have lower mean aberration numbers and may also contain samples without any structural imbalances. In contrast, we observed chromosomal aberrations in all tumor specimens analyzed including a high mean aberration number reflecting the high degree of chromosomal instability of PDAC. The most frequent gains were located on 2q, 8q, 4q, 3q, 1q and 13q and the most frequent losses on 17p, 18q, 19p, 6q, 8p, 9p, 22q and 1p. These findings were generally in line with previous studies performed on tissue specimens (Table 5). CGH studies performed on PDAC cell lines tend to show most of the alterations of primary tumor tissue with a slightly different distribution as well as additional frequent gains on 5p, 7p, and 20q and frequent losses on 17q, and 21q [12,42–44]. This deviation may be due to chromosomal instability in combination with long-term culture of cell lines resulting in genetic changes that are not observed in the primary tumor sample.

Although some of the loci mentioned above contain tumor suppressor genes or oncogenes presumably important for PDAC progression, the role of most copy number alterations is poorly

Table 5
Published CGH data for pancreatic adenocarcinoma. Studies of established pancreatic cancer cell lines were excluded.

CGH-Method	Patients (n)	Material	UICC ^a	UICC I	UICC II	UICC III	UICC IV	Frequent gains	Frequent losses	Prognostic information	Samples w/o aberrations (n)	Micro-dissection	WGA	Year	Author	Reference
mCGH	27 ^b	ff	6	9	1	1	4	16p, 20q, 22q, 17q, 7q, 8q	9p, 13q, 18q 1, 3p, 6q, 8p, 9p, 11p, 13q, 17p, 18q, 19, 20p, 21 ^d	no	4	no	no	1996	Solinas-Toldo	[45]
mCGH	6	ff	0	1	1	1	4	7p, 8q, 11q, 20q ^d		no	na	no	no	1997	Fukushige	[42]
mCGH	13	ff	na	na	na	na	na	7p, 8q, 5p, 5q, 11p, 12p, 18q	18p, 18q, 6q, 17p	no	7	no	no	1997	Mahlamäki	[12]
mCGH	33	ff/FFPE	8	19	2	4	5	5p, 8q, 12p, 19q, 20q	18q, 10q, 8p, 13q	no	11	no	no	2000	Schleger	[15]
mCGH	20	ff	0	1	5	14	8q, 3q, 20q, 7p, 1q, 13q	17p, 9p, 18q, 8p, 6q, 6p, 9q, 22q		no	0	M	DOP	2002	Harada	[46]
mCGH	27	ff	4	11	7	5	8q, 7q, 1q	9p, 17p, 4q, 6p		no	0	no	no	2003	Lin	[47]
aCGH	5	ff	0	4	0	1	7p, 8q, 11q, 20q, 7q, 12q, 17q	na		no	0	no	no	2004	Holzmann	[48]
mCGH	15	Smear	0	5	6	4	5p, 8q, 20q, 1q, 7p, 12p	9p, 18q, 19p, 8p		no	0	L	DOP	2005	Kitoh	[49]
aCGH	17	Xeno	na	na	na	na	7, 8q, 11p, 14q, 20 ^d	1p, 3p, 4q, 5q, 6, 8p, 9, 13q, 15q, 16p, 17p, 18q, 19, 20, 21, 22 ^d		no	0	no	no	2005	Nowak	[50]
aCGH	23	ff	0	1	4	18	1q, 2, 3q, 5, 7, 8q, 12p ^d	1p, 4p, 6, 8p, 9, 17p, 18q, 21q, 22q ^d		no	0	M	no	2007	Harada	[51]
mCGH	33	FFPE	5	8	15	5	8q, 13q, 18p, 3q, 9p, 12p	1p, 22, 19, 17p, 18q, 8p		no	5	M	no	2007	Schleicher	[41]
aCGH	27 ^c	ff	0	1	3	16	8q, 1q, 2, 3, 5, 7p, 11, 14q, 17q	9p, 18q, 6, 1p, 13, 17p, 3p, 14q		yes	0	M	no	2008	Harada	[13]
aCGH	23	ff	0	1	4	18	7q, 12p, 19q, 6q, 7p, 8p, 5q	9p, 18q, 1p, 17p, 6q, 8p, 3p, 12q, Xp		no	1	M	no	2009	Harada	[14]

UICC = Union Internationale Contre le Cancer; w/o = without; WGA = whole genome amplification; mCGH = metaphase CGH; aCGH = array CGH; na = information not available; ff = fresh-frozen tissue; FFPE = formalin-fixed and paraffin-embedded tissue; Xeno = direct xenograft of primary tumor tissue without long-term culture; Smear = smear from a fresh biopsy; M = manual microdissection; L = laser-assisted microdissection; DOP = degenerated oligonucleotide PCR.

^a As provided in the publication.
^b All cases were T1–2 tumors. N-category not available for all cases.
^c UICC stage in seven cases not known.
^d Not in the order of frequency.

understood. None of the most common alterations (i. e. >30%) in our series was of prognostic significance, but the very high frequency suggests relevance for disease progression. The most frequent aberration of the investigated tumors was deletion of 17p, suggesting a loss of the tumor suppressor gene *TP53* (17p13). Chromosome locus 8q24 was most frequently gained in our series and this alteration potentially represents amplifications of the *MYC* oncogene. However, since the resolution of metaphase CGH used in this screening study is only 5–10 Mbp it is idle to speculate about the affected genes within the identified large alterations. This needs to be scrutinized with high-resolution techniques such as oligonucleotide array-CGH [14], or digital karyotyping with 2nd-generation sequencing.

In conclusion, our data suggests that the frequent alterations at chromosome 8q, 9p, 17p and 18q as well as deletions on chromosome 4q have an effect on the malignant potential of clinically localized PDAC. Our comprehensive genomic data provide a basis to guide studies using high-resolution methods, such as array-CGH, to uncover the genes located within the chromosomal alterations identified in our mCGH investigation.

References

- Bardeesy N, DePinho RA. Pancreatic cancer biology and genetics. *Nat Rev Cancer* 2002;2:897–909.
- Li D, Xie K, Wolff R, Abbruzzese JL. Pancreatic cancer. *Lancet* 2004;363:1049–57.
- Büchler MW, Kleeff J, Friess H. Surgical treatment of pancreatic cancer. *J Am Coll Surg* 2007;205:S81–86.
- Gaedecke J, Gunawan B, Grade M, Szöke R, Liersch T, Becker H, et al. The mesopancreas is the primary site for r1 resection in pancreatic head cancer: relevance for clinical trials. *Langenbecks Arch Surg* 2010;395:451–8.
- Esposito I, Kleeff J, Bergmann F, Reiser C, Herpel E, Friess H, et al. Most pancreatic cancer resections are r1 resections. *Ann Surg Oncol* 2008;15:1651–60.
- Verbeke CS, Leitch D, Menon KV, McMahon MJ, Guillou PJ, Anthony A. Redefining the r1 resection in pancreatic cancer. *Br J Surg* 2006;93:1232–7.
- Katz MHG, Hwang R, Fleming JB, Evans DB. Tumor-node-metastasis staging of pancreatic adenocarcinoma. *CA Cancer J Clin* 2008;58:111–25.
- Katz MH, Wolff R, Crane CH, Varadhachary G, Javle M, Lin E, et al. Survival and quality of life of patients with resected pancreatic adenocarcinoma treated with adjuvant interferon-based chemoradiation: a phase ii trial. *Ann Surg Oncol*; 2011.
- Moureau-Zabotto L, Phelip JM, Afchain P, Mineur L, Andre T, Vendrely V, et al. Concomitant administration of weekly oxaliplatin, fluorouracil continuous infusion, and radiotherapy after 2 months of gemcitabine and oxaliplatin induction in patients with locally advanced pancreatic cancer: a groupe coordonnateur multidisciplinaire en oncologie phase ii study. *J Clin Oncol* 2008; 26:1080–5.
- Crane CH, Varadhachary GR, Yordy JS, Staerke GA, Javle MM, Safran H, et al. Phase ii trial of cetuximab, gemcitabine, and oxaliplatin followed by chemoradiation with cetuximab for locally advanced (t4) pancreatic adenocarcinoma: correlation of smad4(dpc4) immunostaining with pattern of disease progression. *J Clin Oncol* 2011;29:3037–43.
- Gorunova L, Höglund M, Andrén-Sandberg A, Dawiskiba S, Jin Y, Mitelman F, et al. Cytogenetic analysis of pancreatic carcinomas: intratumor heterogeneity and nonrandom pattern of chromosome aberrations. *Genes Chromosomes Cancer* 1998;23:81–99.
- Mahlamäki EH, Bärlund M, Tanner M, Gorunova L, Höglund M, Karhu R, et al. Frequent amplification of 8q24, 11q, 17q, and 20q-specific genes in pancreatic cancer. *Genes Chromosomes Cancer* 2002;35:353–8.
- Harada T, Chelala C, Bhakta V, Chaplin T, Caulee K, Baril P, et al. Genome-wide DNA copy number analysis in pancreatic cancer using high-density single nucleotide polymorphism arrays. *Oncogene* 2008;27:1951–60.
- Harada T, Chelala C, Crnogorac-Jurcic T, Lemoine NR. Genome-wide analysis of pancreatic cancer using microarray-based techniques. *Pancreatology* 2009; 9:13–24.
- Schleger C, Arens N, Zentgraf H, Bleyl U, Verbeke C. Identification of frequent chromosomal aberrations in ductal adenocarcinoma of the pancreas by comparative genomic hybridization (CGH). *J Pathol* 2000;191:27–32.
- Bashyam MD, Bair R, Kim YH, Wang P, Hernandez-Boussard T, Karikari CA, et al. Array-based comparative genomic hybridization identifies localized DNA amplifications and homozygous deletions in pancreatic cancer. *Neoplasia* 2005;7:556–62.
- Lengauer C, Kinzler KW, Vogelstein B. Genetic instabilities in human cancers. *Nature* 1998;396:643–9.
- Pino MS, Chung DC. The chromosomal instability pathway in colon cancer. *Gastroenterology* 2010;138:2059–72.

- [19] Artandi SE, Chang S, Lee SL, Alson S, Gottlieb GJ, Chin L, et al. Telomere dysfunction promotes non-reciprocal translocations and epithelial cancers in mice. *Nature* 2000;406:641–5.
- [20] DePinho RA. The age of cancer. *Nature* 2000;408:248–54.
- [21] Maser RS, DePinho RA. Connecting chromosomes, crisis, and cancer. *Science* 2002;297:565–9.
- [22] van Heek NT, Meeker AK, Kern SE, Yeo CJ, Lillemo KD, Cameron JL, et al. Telomere shortening is nearly universal in pancreatic intraepithelial neoplasia. *Am J Pathol* 2002;161:1541–7.
- [23] Shono M, Sato N, Mizumoto K, Maehara N, Nakamura M, Nagai E, et al. Stepwise progression of centrosome defects associated with local tumor growth and metastatic process of human pancreatic carcinoma cells transplanted orthotopically into nude mice. *Lab Invest* 2001;81:945–52.
- [24] Sato N, Mizumoto K, Nakamura M, Maehara N, Minamishima YA, Nishio S, et al. Correlation between centrosome abnormalities and chromosomal instability in human pancreatic cancer cells. *Cancer Genet Cytogenet* 2001;126:13–9.
- [25] Stoecklein NH, Luebke AM, Erbersdobler A, Knoefel WT, Schraut W, Verde PE, et al. Copy number of chromosome 17 but not her2 amplification predicts clinical outcome of patients with pancreatic ductal adenocarcinoma. *J Clin Oncol* 2004;22:4737–45.
- [26] Linder S, Falkmer U, Hagmar T, Blåsjö M, Sundelin P, von Rosen A. Prognostic significance of DNA ploidy in pancreatic carcinoma. *Pancreas* 1994;9:764–72.
- [27] Weger AR, Graf AH, Askensten U, Schwab G, Bodner E, Auer G, et al. Ploidy as prognostic determinant in pancreatic cancer. *Lancet* 1987;2:1031.
- [28] Stoecklein NH, Erbersdobler A, Schmidt-Kittler O, Diebold J, Schardt JA, Izbicki JR, et al. Scmp is superior to degenerated oligonucleotide primed-polymerase chain reaction for global amplification of minute amounts of DNA from microdissected archival tissue samples. *Am J Pathol* 2002;161:43–51.
- [29] Pirker C, Raidl M, Steiner E, Elbling L, Holzmann K, Spiegl-Kreinecker S, et al. Whole genome amplification for cgh analysis: linker-adapter pcr as the method of choice for difficult and limited samples. *Cytometry A* 2004;61:26–34.
- [30] Sobin LHW, Wittekind C. Tnm classification of malignant tumors. UICC. 6th ed. John Wiley & Sons; 2002.
- [31] du Manoir S, Schröck E, Bentz M, Speicher MR, Joos S, Ried T, et al. Quantitative analysis of comparative genomic hybridization. *Cytometry* 1995;19:27–41.
- [32] R, Development, Core, Team: R: a language and environment for statistical computing. Vienna: R Foundation for Statistical Computing; 2004.
- [33] Baudis M, Cleary ML. Progenetix.Net: an online repository for molecular cytogenetic aberration data. *Bioinformatics* 2001;17:1228–9.
- [34] Karhu R, Kahkonen M, Kuukasjarvi T, Pennanen S, Tirkkonen M, Kallioniemi O. Quality control of cgh: impact of metaphase chromosomes and the dynamic range of hybridization. *Cytometry* 1997;28:198–205.
- [35] Kallioniemi OP, Kallioniemi A, Piper J, Isola J, Waldman FM, Gray JW, et al. Optimizing comparative genomic hybridization for analysis of DNA sequence copy number changes in solid tumors. *Gene Chromosome Canc* 1994;10:231–43.
- [36] Baudis M. Progenetix oncogenomic online resource, <http://Www.Progenetix.Net>; 2009, 2010.
- [37] Lin SC, Chen YJ, Kao SY, Hsu MT, Lin CH, Yang SC, et al. Chromosomal changes in betel-associated oral squamous cell carcinomas and their relationship to clinical parameters. *Oral Oncol* 2002;38:266–73.
- [38] De Angelis PM, Stokke T, Beigi M, Mjåland O, Clausen OP. Prognostic significance of recurrent chromosomal aberrations detected by comparative genomic hybridization in sporadic colorectal cancer. *Int J Colorec Dis* 2001;16:38–45.
- [39] Arai Y, Honda S, Haruta M, Kasai F, Fujiwara Y, Ohshima J, et al. Genome-wide analysis of allelic imbalances reveals 4q deletions as a poor prognostic factor and mdm4 amplification at 1q32.1 in hepatoblastoma. *Gene Chromosome Canc* 2010;49:596–609.
- [40] Wraga M, Ruosaari S, Eijk PP, Kaifi JT, Hollmen J, Yekebas EF, et al. Genomic profiles associated with early micrometastasis in lung cancer: relevance of 4q deletion. *Clin Cancer Res* 2009;15:1566–74.
- [41] Schleicher C, Poremba C, Wolters H, Schäfer K-L, Senninger N, Colombo-Benkmann M. Gain of chromosome 8q: a potential prognostic marker in resectable adenocarcinoma of the pancreas? *Ann Surg Oncol* 2007;14:1327–35.
- [42] Fukushige, Waldman F, Kimura M. Frequent gain of copy number on the long arm of chromosome 20 in human pancreatic adenocarcinoma. *Genes*; 1997.
- [43] Tirado CA, Sandberg AA, Stone JF. Identification of a novel amplicon at 1q31 in pancreatic cancer cell lines. *Cancer Genet Cytogenet* 1999;113:110–4.
- [44] Ghadimi BM, Schrock E, Walker RL, Wangsa D, Jauho A, Meltzer PS, et al. Specific chromosomal aberrations and amplification of the aib1 nuclear receptor coactivator gene in pancreatic carcinomas. *Am J Pathol* 1999;154:525–36.
- [45] Solinas-Toldo S, Wallrapp C, Müller-Pillasch F, Bentz M, Gress T, Lichter P. Mapping of chromosomal imbalances in pancreatic carcinoma by comparative genomic hybridization. *Cancer Res* 1996;56:3803–7.
- [46] Harada T, Okita K, Shiraishi K, Kusano N, Kondoh S, Sasaki K. Interglandular cytogenetic heterogeneity detected by comparative genomic hybridization in pancreatic cancer. *Cancer Res* 2002;62:835–9.
- [47] Lin M, Cai D, Luo M. Identification of chromosomal imbalances in pancreatic carcinoma using comparative genomic hybridization. *Chin Med J (Engl)* 2003;116:1156–60.
- [48] Holzmann K, Kohlhammer H, Schwaenen C, Wessendorf S, Kestler HA, Schwoerer A, et al. Genomic DNA-chip hybridization reveals a higher incidence of genomic amplifications in pancreatic cancer than conventional comparative genomic hybridization and leads to the identification of novel candidate genes. *Cancer Res* 2004;64:4428–33.
- [49] Kitoh H, Ryozaawa S, Harada T, Kondoh S, Furuya T, Kawauchi S, et al. Comparative genomic hybridization analysis for pancreatic cancer specimens obtained by endoscopic ultrasonography-guided fine-needle aspiration. *J Gastroenterol* 2005;40:511–7.
- [50] Nowak NJ, Gaile D, Conroy JM, McQuaid D, Cowell J, Carter R, et al. Genome-wide aberrations in pancreatic adenocarcinoma. *Cancer Genet Cytogenet* 2005;161:36–50.
- [51] Harada T, Baril P, Gangeswaran R, Kelly G, Chelala C, Bhakta V, et al. Identification of genetic alterations in pancreatic cancer by the combined use of tissue microdissection and array-based comparative genomic hybridisation. *Br J Cancer* 2007;96:373–82.